

Short-Term cost impact of compliance with clinical practice guidelines for  
initial sarcoma treatment

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**Summary:**

*Background:* The impact of compliance to clinical practice guidelines (CPG) on outcomes and/or costs of care has not been completely clarified.

*Objective:* To estimate relationships between medical expenditures and compliance to CPG for initial sarcoma treatment.

*Research design:* Selected cohorts of patients diagnosed with sarcoma in 2005 and 2006, and treated at the University hospital and/or the cancer centre of the Rhône-Alpes region, France (n=90). Main outcome measurements were: patient characteristics, compliance with CPG, health outcomes, and costs. Data were mainly extracted from patient records. The logarithm of treatment costs was modelled using linear and Tobit regressions.

*Results:* Rates of compliance with CPG were 86%, 66%, 88%, 89%, and 95% for initial diagnosis, primary surgical excision, wide surgical excision, chemotherapy, and radiotherapy, respectively. Total average costs reached €24,439, with €1,784, €11,225, €10,360, and €1,016 for diagnosis, surgery (primary and wide surgical excisions), chemotherapy, and radiotherapy, respectively. Compliance of diagnosis with CPG decreased the cost of diagnosis, whereas compliance of primary surgical excision increased the cost of chemotherapy. Compliance of chemotherapy with CPG decreased the cost of radiotherapy.

*Conclusion:* Since chemotherapy is one of the major cost drivers, these results support that compliance with guidelines increases medical care expenditures in short term.

**Key Words:** Cost, Clinical guidelines, Medical Practices, Oncology, Sarcoma

**JEL Code:** I18 – Government Policy; Regulation; Public Health

## **INTRODUCTION**

Variations in medical practices have been the subject of extensive research [1-4]. In addition to medical reasons, these variations are generally explained by the organization of care, the level of supply of care and the dissemination of scientific knowledge. In order to reduce inappropriate medical practices, numerous Clinical Practice Guidelines (CPG) have been developed in recent decades [5]. CPG implementation has become a priority of healthcare policies and has hence received considerable research attention [6,7]. Compliance to CPG has been also widely studied even for rare cancers [8-10]. A retrospective study analyzing the medical records of patients with sarcoma in two referent institutions of the Rhone-Alpes region, France (University hospital of Lyon and Cancer Center Léon Bérard) had shown that initial clinical management between 1999 and 2001 had been consistent with the CPG in only 32% of cases [11]. Because of this low percentage and the rarity of the tumour, a second study was initiated in 2005 to analyse the evolution of compliance over time. As the impact of compliance to CPG on health outcomes and costs of care has received less research attention [12], the study initiated in 2005 also estimates the links (i) between compliance with CPG and costs of care in the short term; (ii) between compliance with CPG, health outcomes, and costs of care in the long term (80% of relapses occur during the first three years). The aim of this paper is to present the short-run economic analyses performed for comparisons in each referent institution, and to assess whether the idea that compliance with CPG generally increases medical care expenditures is also valid for rare tumours. In fact, this appears particularly uncertain for sarcoma due to the clinical specificities.

## **METHODS**

### **Inclusion criteria**

All patient aged 15 years and older, with an initial diagnosis of sarcoma made between March 2005 and February 2006, and treated at the University Hospital of Lyon and/or at the Cancer Centre of the Rhône-Alpes region, France, were eligible for the study.

### **Exclusion criteria**

Patient treated for a non sarcoma tumour, relapsing patients (no CPG available), patients aged less than 15 years, those treated outside the University Hospital of Lyon and/or the Cancer Centre of the Rhône-Alpes region, or treated before March 2005 or after February 2006 were excluded from the study.

### **Clinical practice guidelines**

The CPG is a nationwide project of the Fédération Nationale des Centres de Lutte contre le Cancer (French Federation of Comprehensive Cancer Centers, FNCLCC). The CPG for the clinical management of sarcoma used in this study were based on Standards-Options and Recommendations (SOR) published in 1995 and updated in 2004 [13].

### **Main outcome measurement**

*Patient characteristics:* In addition to patient age and sex, we also distinguished low, intermediate and high-grade tumours. The size and depth of the tumour were noted, as well as severe concomitant or past diseases. Three tumour localizations were considered

(bone, soft tissue, and viscera), as well as three tumour sites (head and/or neck, limb, and trunk).

*Compliance with CPG:* Each medical procedure was individually assessed for conformity with CPG. The CPG for each sequence of treatment are detailed in appendix 1. Only medical decisions covered by the CPG were taken into consideration for assessing compliance. The number of medical decisions judged to be based on multidisciplinary medical decision was also taken into account [9,10,14,15]. Overall treatment was considered to be compliant when all treatment sequences were compliant.

*Health outcomes:* Indicators of efficacy at completion of initial treatment and at one year were: complete remission, partial remission, stable disease, progressive disease and death.

*Cost evaluation:* Costs were assessed for each patient and for each sequence of care. Costs were calculated from the hospital's point of view, based on a micro costing approach [16]. The time horizon ranged from diagnosis (including initial biopsy) to end of initial treatment (excluding follow-up period). Thus, for each sequence of care and for each patient, the type and quantities of imaging, blood transfusion and surgical procedures, as well as the type and length of hospitalisations were recorded. The types of initial biopsies, chemotherapy drugs, and radiation treatments were also identified. The resources used were then multiplied by unit costs or prices, respectively. Estimates were based on 2006 prices and costs.

## Data source

Data related to the characteristics of the patients and the resources used were extracted from patient records in the two hospitals. Compliance with CPG was analyzed and double-checked by two medical oncologists. Prices were taken from the Classification Commune des Actes Médicaux (CCAM) and the Bulletin Officiel de la République Française, whereas costs were calculated by the accounting departments of the University hospital and the Cancer Centre.

## Statistical and econometric analysis

Descriptive statistics were used to analyze patient characteristics, costs of treatment, and health outcomes. Multiple regression analyses were performed to examine the relationship between the cost of each treatment sequence and a range of explanatory variables, including compliance. The medical variables considered relevant for each sequence of initial treatment were retained. The technique for regression analysis depended on the nature of the data. More precisely, the logarithm of treatment costs at each stage was estimated using standard linear models with Ordinary Least Squares (OLS) when the cost variable was continuous, and Tobit regression when the cost variable was censored so that the data contained a large proportion of zero values. OLS regression is calculated as follows:

$$y_i = X_i\beta + u_i \quad (1)$$

where  $y_i$  is the dependent variable, i.e. the log of the total cost,  $X_i$  is a vector of independent variables,  $\beta$  is a vector of unknown coefficients and  $u_i$  is an independently distributed error term assumed to be normal with zero mean and constant variance  $\sigma^2$ .

The stochastic model underlying Tobit may be expressed by the following relationship:

$$y_i = \begin{cases} X_i\beta + u_i & \text{if } X_i\beta + u_i > 0 \\ 0 & \text{if } X_i\beta + u_i \leq 0, \end{cases} \quad (2)$$

where  $u_i$  follows a normal distribution with zero mean and constant variance  $\sigma^2$ . The same regressions were also done at a more disaggregated level, i.e. distinguishing the different expenditures associated to each sequence. Results are not reported here but are available on request from authors.

As the percentage of radiation therapy was very low, which was in contradiction with CPG, regression analysis with radiation therapy was not possible. For all analyses, statistical significance was set at 5% and 10%. Calculations were performed using Stata 10.0 software.

## RESULTS

### Descriptive statistics

*Characteristics of patients:* Main characteristics of patients are described in table 1. The average age of patients at the date of histological examination (n=84) was 54 years, ranging from 17 to 86 years. More precisely, 32 patients (38%) were less than 50 years old; 33 (39%) were between 50 and 69 years old, 19 (23%) were 70 years old and over. Most (57%) patients were female. A majority of high-grade tumours (36%) were observed. Only 19 tumours (23%) were low-grade. Sarcomas were mainly located in soft tissues (63%) and the most frequent tumour site was the trunk (54%). Most tumours were deep-seated (65%) with an average size of 97mm, ranging from 16 to 320mm. Tumour size was lower than or equal to 50 mm in 33 % of the patients (n=26) and 100 mm in 68 % (n=53). Moreover, 23 patients (27%) had other severe concomitant or past diseases: 20 previous cancers and 3 severe concomitant diseases such as VIH (n=2) and

haemophilia (n=1). Twelve patients (14%) had metastases at diagnosis of sarcoma. Surgery was contraindicated for 6 patients (7%) in whom the procedure would be too mutilating.

*Description of patient management:* The average time interval between the date of first symptoms and the date of diagnosis was 156 days (n=69), ranging from 8 to 1821 days. A majority of patients (54%, n=37) were diagnosed with sarcoma between 1 and 3 months after the first symptoms, and 23% (n=16) received appropriate treatment more than three months after the first symptoms.

Initial sarcoma treatments were as follows:

- Thirty patients (36%) were treated with surgery alone. Most of them had low-grade tumours (37%), mainly, located in the soft tissues (67%), and the trunk was the most frequent tumour site (67%).
- Eight patients (10%) received only chemotherapy. Most of them had intermediate-grade tumours (38%), mainly located in the soft tissues (63%), and the trunk was the most frequent tumour site (63%).
- One patient (1%) with an unknown grade tumour of the soft tissues arising in limb received only radiation therapy.
- Twelve patients (14%) had both surgery and chemotherapy. Most of them had high-grade tumours (58%) located equally in the bones, the soft tissues, and the viscera. Trunk was the most frequent tumour site (63%).
- Twelve patients (14%) had both surgery and radiation therapy. Most of them had high-grade tumours (58%), mainly located in the soft tissues (100%), and limb was the most frequent tumour site (58%).

- Five patients (6%) received both chemotherapy and radiation therapy. There was a majority of unknown grade tumours (40%), mainly located in the soft tissues (60%), and limb was the most frequent tumour site (60%).
- Fifteen patients (18%) received all three treatments. Most had high-grade tumours (47%), mainly located in the soft tissues (53%), and trunk was the most frequent tumour site (40%).
- Finally, 1 patient (1%) did not have any treatment because of age.

Diagnosis: All patients underwent complete diagnostic evaluation. Fourteen patients (17%) had cytologic examination, 12 (15%) had a micro-biopsy, 25 (31%) had a surgical biopsy, 27 (34%) had both cytologic examination and a micro-biopsy, 1 patient (1%) had both a micro-biopsy and a surgical biopsy, and 2 patients (2%) underwent all three diagnostic procedures.

Surgery: Primary surgical resection (excluding biopsies) was performed in 68 patients (81%): 34 (50%) R0, 25 (37%) R1, and 9 (13%) R2 surgical resections. Eight patients (12%) had wide, R0 surgical resections. One patient (1%) underwent surgical resection of a metastasis during initial treatment of sarcoma. The surgeons performing these procedures (primary and wide surgical excisions) were specialized in digestive and/or visceral surgery (42%), in otolaryngology or cardiac, plastic, and neural surgery (26%), in cancer surgery (7%), or in orthopaedic and trauma surgery (5%).

Chemotherapy: Forty patients (48%) received chemotherapy in the neoadjuvant (23 patients, 57%), adjuvant (11 patients, 28%) or palliative (6 patients, 15%) settings.

Radiation therapy: Radiation therapy was performed after surgery in 27 patients (82%), before surgery in 3 patients (9%), and with palliative intent in 3 patients (9%).

Lastly, respectively 6 (7%), 14 (17%), and 4 patients (5%) received surgery, chemotherapy, and radiation therapy after initial treatment.

*Compliance with clinical practice guidelines:*

Initial treatment was compliant with CPG in 41 (53%) cases. More precisely, compliance rates were 86% at diagnosis, 66% at primary surgery, 89% at chemotherapy, and 95% at radiation therapy. Results are detailed in table 2.

*Health outcomes:* Main health outcomes at the end of initial treatment and survival rates after one year are detailed in table 3. Complete remission was achieved at the end of initial treatment in 71% of the patients. At one year, only fifty-one patients (61%) were still in complete remission, and six of those who were in complete remission at the end of initial treatment had died. Amongst the fourteen deaths reported at one year, 13 were directly attributable to sarcoma.

*Costs of initial treatment:* Average costs per treatment sequence are reported in table 4. The average cost of initial sarcoma treatment reached €24,439 (n=79), ranging from €2,040 to €72,780. The procedures were ranked based on all observed costs, even when the cost of a sequence was set at zero. Surgery (primary and wide surgical excisions), with an average cost of €11,225, represented 45% of the average total cost. Next came chemotherapy (€10,360) with 43% of the average total cost, followed by diagnosis (€1,784) with 7%, and radiation therapy (€1,016) with 5%. However, the average cost of chemotherapy for the 40 patients who did receive chemotherapy (three missing data due to unknown prices for drugs used within clinical trials) reached €22,679. Surgical

treatment reached €13,535 for the 68 patients who actually had surgery, and the cost of radiotherapy was €2,554 for the 33 patients who actually had radiation therapy.

### **Econometric analyses of treatment costs**

*Correlation between costs and medical variables:* As shown in table 5, the smaller the tumour, the higher the average total cost of diagnosis ( $p=0.031$ ). Regression analyses at a more disaggregated level revealed that the size of the tumour negatively impacted the average cost of hospitalization for diagnosis ( $p=0.015$ ), but did not significantly influence the other types of expenditures for this sequence. The depth of the tumour did not significantly influence the average total cost of diagnosis, even though a deep tumour increased the average cost of diagnostic imaging ( $p=0.001$ ).

The average total cost of surgery (primary and wide surgical excisions) depended on the size and location of the tumour, the presence of metastases at diagnosis, and the administration of neoadjuvant treatment: (i) The larger the size of the tumour, the higher the average cost ( $p=0.093$ ). (ii) Average total costs were also higher for tumours arising in bone compared to soft tissues ( $p=0.015$ ). (iii) However, metastases at diagnosis, as well as neoadjuvant treatment(s), decreased the average total cost of surgery ( $p\leq 0.001$ ). At the disaggregated level, all results regarding the average cost of hospitalization for surgery were confirmed. Moreover, the average cost of imaging for surgery was higher when the site of the tumour was limb compared to head and neck ( $p=0.061$ ). The mean cost of transfusions for surgery increased with the size and the depth of the tumour, as well as for intermediate and high grades compared to low grade.

The average total cost of chemotherapy increased with the occurrence of other diseases ( $p=0.088$ ), for intermediate or high-grade tumours ( $p<0.001$ ), and with neoadjuvant

chemotherapy ( $p < 0.001$ ). This cost was also higher for patients with metastases at diagnosis ( $p = 0.002$ ). In addition, the younger the patient, the higher the average total cost of chemotherapy ( $p = 0.069$ ). Results were confirmed at the disaggregated level for the average costs of chemotherapy drugs, transfusions, and imaging. However, the tumour site seemed to be an additional determinant influencing the average cost of chemotherapy drugs: this cost was smaller for tumours arising in the head and/or neck ( $p = 0.076$ ) and in trunk ( $p = 0.096$ ) compared to limb. Average costs of hospitalization for chemotherapy were higher for intermediate and high-grade tumours ( $p < 0.001$ ), for tumours located in bone compared to soft tissues ( $p = 0.067$ ), for younger patients ( $p = 0.071$ ) and for patients receiving neoadjuvant chemotherapy ( $p < 0.001$ ). Average costs of transfusions for chemotherapy increased only for intermediate and high-grade tumours ( $p = 0.008$ ) and for younger patients ( $p = 0.006$ ).

The average total cost of radiation therapy increased for deep tumours ( $p = 0.004$ ) and for intermediate and high-grade tumours ( $p = 0.013$ ). The mean total cost of radiation therapy also increased for soft-tissue compared to visceral tumours ( $p = 0.010$ ), and for younger patients ( $p = 0.014$ ).

*Correlation between costs and compliance to CPG:* As shown in table 5, compliance of diagnosis with CPG decreased the average total cost of diagnosis ( $p = 0.071$ ). More precisely, procedures compliant with CPG reduced the average cost of diagnosis by approximately 47% as compared to non compliant ones. This impact of diagnosis compliance on the total cost of diagnosis had opposite effects on the different cost items associated with diagnosis: the average cost of hospitalization for diagnosis also decreased with compliance of diagnosis with CPG ( $p = 0.064$ ), whereas the average cost

of diagnostic imaging and biopsy increased ( $p \leq 0.001$ ). No impact on the costs of other sequences of initial sarcoma treatment (surgery, chemotherapy and radiation) was observed.

Compliance of primary surgical resection with CPG did not influence the total cost of surgery. However, it was associated with an increased average total cost of chemotherapy ( $p=0.033$ ). We could evaluate this impact by calculating the expected value of the log cost ( $y_i$ ) in the model using the following formula:

$$E(y_i) = X_i\beta\Phi(X_i\beta/\sigma) + \sigma\phi(X_i\beta/\sigma) \quad (3)$$

where  $\Phi$  is the cumulative normal distribution function and  $\phi$  the standard normal density.

For example, the average total cost of chemotherapy for a 54-year-old patient receiving neoadjuvant treatment, without other severe diseases, was 16 times higher when primary surgical resection was compliant. There can be two reasons for this: (i) compliance increased the number of patients who received chemotherapy; (ii) compliance increased the cost of chemotherapy amongst patients who received chemotherapy. The Tobit regression model can be used to evaluate both components [17]:

- the probability of having a positive cost:

$$Prob(y_i > 0) = \Phi(X_i\beta/\sigma) \quad (4)$$

- the value of the cost if it is already above zero:

$$E(y_i | y_i > 0) = X_i\beta + \sigma[\phi(X_i\beta/\sigma)/\Phi(X_i\beta/\sigma)] \quad (5)$$

For a 54-year-old patient on neoadjuvant treatment, without other severe diseases, the probability of receiving chemotherapy was 79% if the primary surgical resection was compliant with CPG, and 45% otherwise. For patients undergoing chemotherapy,

compliance of primary surgery to CPG multiplied the average cost of chemotherapy by 7.5.

At the disaggregated level, we noted that compliance of primary surgery with CPG increased the main cost items associated with chemotherapy: average cost of drugs ( $p=0.032$ ), hospitalization ( $p=0.097$ ), and imaging ( $p=0.028$ ).

Compliance of chemotherapy with CPG did not influence the average cost of chemotherapy, whereas it decreased the average total cost of radiotherapy ( $p=0.031$ ). The cost reduction associated with compliance of chemotherapy was important: for a 54-year-old patient with a deep, high-grade tumour, the average total cost of radiation therapy was multiplied by 114 when chemotherapy was not compliant. Compliance of chemotherapy decreased the probability of having radiation therapy from 91% to 58%.

## **DISCUSSION**

### **Compliance with CPG seems to increase the costs of initial treatment**

Considering that compliance of primary surgery (excluding biopsy) significantly increases the average total cost of chemotherapy, and that compliance of chemotherapy increases the cost of hospitalisation for chemotherapy (average length of stay 24.8 versus 13.1 days with and without compliance, respectively) and the cost of chemotherapy drugs (3,770€ versus 2,474€ with and without compliance, respectively), compliance with CPG seems to increase medical expenditures for the initial treatment of sarcoma. As shown in the results, chemotherapy is the most expensive treatment sequence because of the cost of chemotherapy drugs, i.e. 27% more expensive than diagnosis, surgery and radiation therapy together. Our results, like other published

studies [18], demonstrate that it may be cheaper in the short term to deviate from CPG. However, this should not encourage health providers to do so since better care, measured by compliance with CPG, could lead to better outcomes in the long term, in particular to fewer relapses.

Following patients longer than one year might help identify cost savings that eventually result from better care. However, the literature also suggests that compliance with CPG decreases costs as, for example, for ischemic stroke management [19] or for patients with acute low back pain [12]. The contradictory results reported in the literature regarding compliance with CPG and costs required distinguishing between the different treatment sequences. Our results confirm that compliance of diagnosis with CPG decreases the average total cost of diagnosis, and that compliance of chemotherapy with CPG decreases the average total cost of radiotherapy. Moreover, this study is clearly based on “standard CPG” developed by the medical profession and used predominantly by physicians with the intent to reduce clinical variations and to further enhance the quality of care. The role of the guidelines is now expanding and changing whereby more and more guidelines are aimed at the reduction of health care costs [20].

A recent study based on a large US health insurance database from 2002 to 2006 shows an average monthly medical cost of soft tissue sarcoma of \$3,168 [21]. In our study sample, a focus on the 53 patients treated for soft tissue sarcoma shows an average monthly cost of €3,046 for diagnosis, surgery, chemotherapy and radiation therapy (i.e. \$3,601 at the January 2006 exchange rate of 1.18210 euro per US dollar). The cost of care for soft tissue sarcoma reaches \$38,016 per year and per patient in the J.E. Butrynski study, versus \$43,207 in our study. Surprisingly, the average total cost is higher in France than in the US. Independently of numerous biases which could explain

these results, the major difference lies with the type of treatment received: in the J.E. Butrynski study, respectively 18%, 35%, and 28% of the patients received chemotherapy, surgery, and radiation therapy compared to 48%, 82%, and 39% in our study.

### **Relevance of results from the clinical point of view**

It appears relevant that compliance of diagnosis with CPG decreases the average total cost of diagnosis. It reduces diagnostic uncertainties, prevents multiple interpretations, and avoids repeating tests (average length of stay in hospital 1.9 days versus 4.5 days with and without compliance respectively,  $p=0.038$ ). The short-term impact of (i) compliance of primary surgical resection with CPG on the cost of chemotherapy, and (ii) compliance of chemotherapy with CPG on the cost of radiotherapy is less clear. The association between compliance of primary surgical resection with CPG and decreased average total cost of chemotherapy, which may result from taking into account multidisciplinary medical decision, may guide the choice of providers toward chemotherapy. Compliance of chemotherapy with CPG could reduce the need for salvage radiotherapy. However, dose variations (in Gray) depend on patient characteristics, excision margins and generally not on the realization or the compliance of chemotherapy with CPG. In fact, non compliance of chemotherapy with CPG does not increase the probability of having radiotherapy ( $p=0.1457$ ). Moreover, when patients receive radiotherapy, non compliance of chemotherapy with CPG does not increase the number of irradiation sessions ( $p=0.5277$ ).

Metastases at diagnosis increase the average cost of treatment because the CPG advise the use of chemotherapy. On the other hand, neoadjuvant chemotherapy decreases the

complexity of the surgical procedure, thus reducing the cost of surgery. Moreover, younger patients more frequently receive chemotherapy and radiotherapy, which increases medical expenditures. This result is in agreement with other studies, such as the one showing that older patients generally receive less aggressive anti cancer treatments [22]. As visceral tumours are seldom treated with radiation therapy, it appears relevant that average costs of radiation therapy for this localisation are lower than for soft tissues. Compared with the results of a medical study performed between 1999 and 2001 in the same two hospitals, compliance of primary surgery and radiation therapy with CPG increased by 14 points, whereas compliance of chemotherapy decreased by 5 points, following the publications of another analysis which did not recommend chemotherapy for soft tissue sarcomas [23]. As shown in the literature, the elaboration of a series of recommendations within the framework of a network significantly improved the compliance of practices, and this effect persisted over time [14,24].

### **Limitations of the study**

(1) At one year after the end of initial treatment, the prognosis of sarcoma is generally good. This is the reason why this study did not analyse the relation between compliance with CPG and health outcomes. Hence our findings cannot, at this stage, support or refute the idea that compliance with CPG improves patient outcome [25].

(2) Comparing hospitals that treat different patient populations, and increasing the number of inclusions might provide useful information. In fact, hospitals with fewer and weaker patients generally have lower compliance with CPG [26]. Increasing the number of inclusions should also permit to include in the regression analysis the time between

the date of first symptoms and the date of diagnosis, the distance between patients' home and hospital, the speciality of the surgeon, as well as the possibility for patients to receive second-line surgery, chemotherapy and/or radiation therapy.

(3) Costs related to the development of the guidelines (data collection, elaboration and agreement of CPG) and to their implementation (dissemination of the CPG) were not taken into account. Also, due to the high number of variables and the experimental design of the study, a non-societal perspective was adopted. However, both limitations are generally observed in the literature [27].

## **CONCLUSION**

This study shows multiple correlations between compliance, medical variables and costs within and across the sequences of initial sarcoma treatment. These results warrant further analysis with more patients, more types of hospitals, and longer follow-up, especially because (i) compliance of the initial treatment of sarcoma with CPG seems to be more expensive in the short run but could reduce medical expenditures in the long run; (ii) few studies have examined patient clinical outcomes and costs in relation to compliance with CPG [12].

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Table 1

## Characteristics of patients

| Characteristics                        | Mean $\pm$ SD or number of patients (%) |
|--|---|
| Age (years) at histological evaluation | 54.2 $\pm$ 18.7                         |
| Male / Female                          | 36 (42.9%) / 48 (57.1%)                 |
| Tumour grade                           |   |
| Low (grade I)                          | 19 (22.6%)                              |
| Intermediate (grade II)                | 12 (14.3%)                              |
| High (grade III)                       | 30 (35.7%)                              |
| Unknown / not applicable               | 11 (13.1%) / 12 (14.3%)                 |
| Tumour site                            |   |
| Head / neck                            | 11 (13.1%)                              |
| Limb                                   | 28 (33.3%)                              |
| Trunk                                  | 45 (53.6%)                              |
| Tumour localisation                    |   |
| Bone                                   | 11 (13.1%)                              |
| Soft tissues                           | 53 (63.1%)                              |
| Viscera                                | 20 (23.8%)                              |
| Tumour size in mm <sup>(1)</sup>       | 97.0 $\pm$ 70.8                         |
| Tumour depth                           |   |
| Superficial                            | 6 (7.1%)                                |
| Deep                                   | 54 (64.3%)                              |
| Unknown / not applicable               | 23 (27.4%) / 1 (1.2%)                   |

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|                                  |                         |
|----------------------------------|-------------------------|
| Other severe diseases (yes/no)   | 22 (26.2%) / 62 (73.8%) |
| Metastasis at diagnosis (yes/no) | 12 (14.5%) / 71(85.5%)  |

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<sup>(1)</sup> 6 missing data

Table 2

## Compliance with Clinical Practice Guidelines

| Compliance                    | Yes<br>Number<br>of observations (%) | No<br>of Number<br>of observations (%) | Not applicable<br>of Number<br>of observations |
|-------------------------------|--------------------------------------|--|--|
| Diagnosis                     | 72 (85.7%)                           | 12 (14.3%)                             | -  |
| Surgery                       |                                      |  |  |
| - primary surgical resection- | 55 (66.3%)                           | 28 (33.7%)                             | -  |
| - wide surgical resection-    | 29 (87.9%)                           | 4 (12.1%)                              | 50   |
| - metastasis surgery-         | 11 (100%)                            | 0 (0%)                                 | 72   |
| Chemotherapy                  | 74 (89.2%)                           | 9 (10.8%)                              | -  |
| Radiotherapy                  | 74 (94.9%)                           | 4 (5.1%)                               | -  |
| Overall                       | 41 (52.5%)                           | 37 (47.5%)                             | -  |

Table 3

## Health outcome

|                     | At the end of initial treatment (number of observations) |                      |                   |                        |         |       |
|---------------------|--|----------------------|-------------------|------------------------|---------|-------|
|                     | Complete<br>remission                                    | Partial<br>remission | Stable<br>disease | Progressive<br>disease | Unknown | Total |
| After one year      |  |                      |                   |                        |         |       |
| Complete remission  | 51   | 1                    | 0                 | 0                      | 0       | 52    |
| Partial remission   | 0  | 2                    | 0                 | 0                      | 0       | 2     |
| Stable disease      | 0  | 1                    | 4                 | 0                      | 0       | 5     |
| Progressive disease | 3  | 1                    | 0                 | 6                      | 0       | 10    |
| Death               | 6  | 0                    | 2                 | 6                      | 0       | 14    |
| Unknown             | 0  | 0                    | 0                 | 0                      | 1       | 1     |
| Total               | 60   | 5                    | 6                 | 12                     | 1       | 84    |

Table 4

Average costs for each sequence (in €)

| Sequence of treatment | Including zero values |        |          |             | Excluding zero values |        |          |                |
|-----------------------|-----------------------|--------|----------|-------------|-----------------------|--------|----------|----------------|
|                       | n                     | Mean   | (SD)     | Range       | n                     | Mean   | SD       | Range          |
| Diagnosis             | 84                    | 1,784  | (2,090)  | 113- 11,360 | 84                    | 1,784  | (2,090)  | 113- 11,360    |
| hospitalisation       | 84                    | 1,410  | (2,107)  | 0-11,019    | 46                    | 2,575  | (2,263)  | 918 - 11,019   |
| imaging               | 84                    | 228    | (156)    | 0-718       | 82                    | 233    | (154)    | 21 -718        |
| biopsy                | 84                    | 82     | (39)     | 0-178       | 81                    | 86     | (36)     | 53 - 178       |
| consultation          | 84                    | 64     | (40)     | 0-90        | 62                    | 90     | -        | 90             |
| Surgery               | 82                    | 11,225 | (9,792)  | 0-52,565    | 68                    | 13,535 | (9,174)  | 1,836 – 52,564 |
| hospitalisation       | 82                    | 10,997 | (9,461)  | 0-47,750    | 68                    | 13,261 | (8,816)  | 1,836 - 47,750 |
| transfusions          | 82                    | 177    | (607)    | 0-4,764     | 14                    | 1,033  | (1,157)  | 176 - 4,764    |
| imaging               | 82                    | 51     | (99)     | 0-591       | 39                    | 107    | (120)    | 13 - 591       |
| Chemotherapy          | 81                    | 10,360 | (15,332) | 0-62,060    | 37                    | 22,679 | (15,535) | 4,246 - 62,060 |
| hospitalisation       | 81                    | 8,156  | (13,186) | 0-55,600    | 35                    | 18,874 | (14,172) | 2,195 - 55,600 |

|                    |    |        |          |               |    |        |          |               |
|--------------------|----|--------|----------|---------------|----|--------|----------|---------------|
| chemotherapy drugs | 81 | 1,767  | (3,211)  | 0-15,904      | 37 | 3,867  | (3,815)  | 175 - 15,904  |
| transfusions       | 81 | 194    | (553)    | 0-3,529       | 19 | 826    | (897)    | 176 - 3,529   |
| imaging            | 81 | 173    | (264)    | 0-1,146       | 37 | 378    | (273)    | 50 - 1,146    |
| Radiotherapy       | 83 | 1,016  | (2,547)  | 0-15,845      | 33 | 2,554  | (3,546)  | 753 - 15,845  |
| Total              | 79 | 24,439 | (18,072) | 2,040 -72,780 | 79 | 24,439 | (18,072) | 2,040 -72,780 |

Table 5

Regression analysis of the log of total costs for each sequence

| Sequence                                  | OLS       |         | Tobit I  |         | Tobit I      |          | Tobit I           |          |
|---|-----------|---------|----------|---------|--------------|----------|-------------------|----------|
|   | Diagnosis |         | Surgery  |         | Chemotherapy |          | Radiation therapy |          |
|   | Coeff.    | P> t    | Coeff.   | P> t    | Coeff.       | P> t     | Coeff.            | P> t     |
| Age (continuous)                          | 0.00253   | 0.729   | -0.01635 | 0.469   | -0.07938     | 0.069*   | -0.13253          | 0.014**  |
| Grade (1=grade II or III, 0=other)        | 0.12571   | 0.616   | -0.07290 | 0.920   | 6.79740      | 0.000*** | 4.82491           | 0.013**  |
| Site 1 (1=limb, 0=head/neck)              | 0.37335   | 0.419   | -0.77919 | 0.558   | -3.45416     | 0.142    | 0.50143           | 0.865    |
| Site 2 (1=trunk, 0=head/neck)             | 0.43873   | 0.333   | -1.00811 | 0.427   | -3.39559     | 0.138    | -0.09443          | 0.974    |
| Localisation 1 (1=bone, 0=soft tissue)    | 0.15164   | 0.732   | 3.46461  | 0.015** | 2.78043      | 0.198    | 0.67666           | 0.816    |
| Localisation 2 (1=visceral,0=soft tissue) | 0.10214   | 0.765   | 1.17450  | 0.233   | 1.69406      | 0.416    | -8.67329          | 0.010**  |
| Tumour size (continuous)                  | -0.00411  | 0.031** | 0.00919  | 0.093*  | -            | -        | 0.01549           | 0.261    |
| Tumour depth (1=deep, 0=other)            | 0.02854   | 0.914   | 1.15325  | 0.125   | -            | -        | 6.95255           | 0.004*** |
| Other severe diseases (1=yes, 0=no)       | 0.01306   | 0.964   | -0.52320 | 0.540   | 2.87816      | 0.088*   | -2.25303          | 0.315    |

|                                       |          |          |          |          |          |          |          |         |
|---------------------------------------|----------|----------|----------|----------|----------|----------|----------|---------|
| Metastasis at diagnosis (1=yes, 0=no) | -0.02786 | 0.944    | -7.46264 | 0.000*** | 6.35471  | 0.002*** | -1.74614 | 0.551   |
| Neoadjuvant treatment <sup>(1)</sup>  | -        | -        | -3.92367 | 0.001*** | 8.02959  | 0.000*** | 6.95418  | 0.116   |
| Compliance of diagnosis (1=yes, 0=no) | -0.63056 | 0.071*   | 0.44044  | 0.659    | -0.77074 | 0.763    | 3.43421  | 0.268   |
| Compliance of primary surgery         | -        | -        | -0.85275 | 0.321    | 4.54111  | 0.033**  | -1.43287 | 0.495   |
| Compliance of chemotherapy            |          |          | -        | -        | 2.63311  | 0.244    | -6.33505 | 0.026** |
| Constant                              | 7.26377  | 0.000*** | 9.03100  | 0.000*** | -4.31161 | 0.278    | 3.01140  | 0.520   |
| <hr/>                                 |          |          |          |          |          |          |          |         |
| Number of observations                | 77       |          | 76       |          | 79       |          | 76       |         |
| Number of censored data               | NA       |          | 12       |          | 43       |          | 47       |         |
| $\sigma$                              | NA       |          | 2.87858  | 0.267    | 4.96326  | 0.657    | 5.38555  | 0.806   |
| Log-likelihood                        | NA       |          | -170.803 |          | -126.638 |          | -107.980 |         |

Note. <sup>(1)</sup> Neoadjuvant treatments are: Chemotherapy or radiation therapy when considering surgery regression; Neoadjuvant chemotherapy when considering chemotherapy regression; Neoadjuvant radiation therapy when considering radiation therapy regression.

\*p<0.1; \*\*p<0.05; \*\*\*p<0.01

## Appendix 1

### Main CPG criteria for each sequence of initial sarcoma treatment

*Main Criteria for diagnosis:* Clinical size and depth of the tumour mass must be recorded; Computed Tomography (CT) is required for abdominal localizations, or Magnetic Resonance Imaging (MRI) for limb localizations; Chest radiograph or CT scan is required to identify metastases; Initial biopsy (incisional or needle), preferably by the surgeon in charge of future surgical procedures, is required for bone and soft tissue sarcomas, with the exception of small tumours (<3 cm) for which excisional biopsy is considered appropriate.

*Main Criteria for surgery:* Whenever possible, primary surgery should involve a wide excision with 1–2 cm margins. For high-grade, large (>3 cm) or deep-seated tumours, surgery alone is acceptable only in case of amputation or compartmental resection with negative histological margins (R0). Wide excision alone, with no adjuvant treatment, is acceptable only for superficial, small (<3 cm) and low-grade lesions. Histologically positive margins (R1) or incomplete excision (R2) have to be considered inadequate, and should be followed by further appropriate treatment.

*Main criteria for chemotherapy:* For non-readily operable sarcomas, primary chemotherapy or radiation therapy can be an option. For readily operable sarcomas, neo-adjuvant chemotherapy should be performed only as part of a clinical research protocol. In the adjuvant setting, systemic chemotherapy should be performed only within the context of a prospective clinical trial. Adjuvant chemotherapy can be performed for patients with histologically positive margins after wide surgical excision.

*Main criteria for radiation therapy:* Association of wide surgical excision and adjuvant radiation therapy should be considered the standard treatment. The absence of adjuvant radiotherapy is

acceptable for superficial, small (<3 cm) and low-grade tumours, and for limb sarcomas when amputation is performed. For non-operable sarcomas, primary radiation therapy could be an option. The optimal treatment strategy involves a 50 Gy delivered dose with an additional boost of 10 Gy in case of microscopic residual tumour (R1), with a target volume encompassing the tumour bed and surgical scars, including draining orifices, with adapted security margins. Moreover, the interval from surgery to radiation therapy must not be longer than 8 weeks.